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INDICATION FORM**

Application Number	4209/057016
Filing Date	29 April 7, 1998
First Named Inventor	Thomas H. Harper
Title	Professor of Physics in Department of Physics
Art Unit	2153
Examiner Name	David H. Fox
Attorney Design Number	60-0087105

I hereby appoint:

☒ Practitioner associated with the Customer Number:

OR

☒ Practitioner(s) named below:**22798**

FEDERAL BUREAU OF INVESTIGATION

Name	Registration Number
Tom Gallegos	32,692
John Robbins	34,706

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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City	Alameda	State	CA	Zip	94501
Country	United States				
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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB-06)

SIGNATURE of Applicant or Assignee of Record

Name	Thomas R. Gallegos
Signature	Thomas R. Gallegos
Date	January 6, 2004
Telephone	707 469 2367

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, one below.

☐ Total of _____ forms are submitted.**CERTIFICATE OF MAILING**

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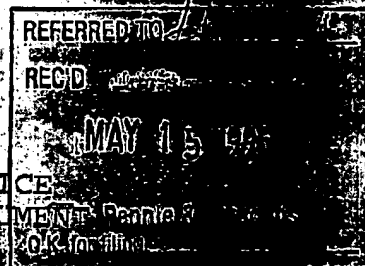
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8129-087

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TURPEN, THOMAS H.

DOC DATE: 12/21/94

ASSIGNOR:
REINL, STEPHEN J.

DOC DATE: 12/21/94

ASSIGNOR:
GRILL, LAURENCE K.

DOC DATE: 12/21/94

RECORDATION DATE: 02/06/95 NUMBER OF PAGES 003 REEL/FRAME 7384/0686

DIGEST: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS)

ASSIGNEE:
BIOSOURCE TECHNOLOGIES, INC.
3333 VACA VALLEY PARKWAY
VACAVILLE, CA 95088SERIAL NUMBER 8-324003 FILING DATE 10/14/94
PATENT NUMBER ISSUE DATE 00/00/00D. B. R.
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

PATENTS ONLY

Attorney Docket Number

8129-087-999

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Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Thomas H. Turpen,
- 2) Stephen J. Reinal, and
- 3) Laurence K. Grill

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: 12/21/94

2. Name and address of receiving party(ies):

Name: BIOSOURCE TECHNOLOGIES, INC.

Address: 3333 Vaca Valley

Vacaville, California

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/324,003

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, NY 10036

6. Number of applications and patents involved: one

7. Total fee (37 CFR 3.41): \$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number: 16-1150
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert P. Halluin 25,227

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93-164686

JOINT

ASSIGNMENT

WHEREAS, WE, Thomas H. Turpen, Stephen J. Reinl, and Laurence K. Grill, ASSIGNORS, citizens of the United States residing at 319 Woodcrest Drive, Vacaville, California 95688, and 920-9th Avenue, Sacramento, California 95818, and 3570 Cantelew Road, Vacaville, California 95688, respectively, are the inventors of an invention in PRODUCTION OF PEPTIDES IN PLANTS AS VIRAL COAT PROTEIN FUSIONS for which we have submitted an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐ _____ (date)
- ☒ which is identified by Pennie & Edmonds docket no. 8129-087
- ☐ which was filed on October 14, 1994, Serial No. 08/324,003

and WHEREAS, Biosource Technologies, Inc., a California corporation having a business address at State Valley Parkway, Vacaville, California 95088, ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally

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do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 12/21/94, 1994

[Signature]

Date 12/21/94, 1994

[Signature]

Date 12/21/94, 1994

[Signature]

Laurence K. Grill

State of California

County of Solano

SS.:

On December 21, 1994 before me the undersigned, a Notary Public for the State of California, personally appeared Thomas H. Turpen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



Comm. #954071
NOTARY PUBLIC - CALIFORNIA
SOLANO COUNTY
My Comm. Expires Jan. 26, 1996

[Signature]
Signature of Notary

State of California

County of Solano

SS.:

On December 21, 1994 before me the undersigned, a Notary Public for the State of California, personally appeared Stephen J. Reinl, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



Carol A. Higgins
Comm. #954071
NOTARY PUBLIC - CALIFORNIA
SOLANO COUNTY
My Comm. Expires Jan. 26, 1996

[Signature]
Signature of Notary

State of California

County of Solano

SS.:

On December 21, 1994 before me the undersigned, a Notary Public for the State of California, personally appeared Laurence K. Grill, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



Carol A. Higgins
Comm. #954071
NOTARY PUBLIC - CALIFORNIA
SOLANO COUNTY
My Comm. Expires Jan. 26, 1996

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BIOSOURCE TECHNOLOGIES

0003

0002

40539683

State of California

SECRETARY OF STATE

I, **BILL JONES**, Secretary of State of the State of California, hereby certify:

That the attached transcript of 1 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

Secretary of State

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BIOSOURCE TECHNOLOGIES

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of the State of California

NOV - 1 1999

CLERK OF THE SECRETARY OF STATE

CERTIFICATE OF AMENDMENT

OF

ARTICLES OF INCORPORATION

Robert L. Erwin and John S. Rakitan certify that:

1. They are the Chairman of the Board and the Secretary, respectively, of Biosource Technologies Inc., a California corporation.

2. Article I of the Articles of Incorporation of this corporation is amended to read as follows:

Article I, Name. The name of this corporation is Large Scale Biology Corporation.

3. The foregoing amendment of the Articles of Incorporation has been duly approved by the board of directors.

4. The foregoing amendment of Articles of Incorporation has been duly approved by the required vote of shareholders in accordance with Section 902 of the Corporations Code. The total number of outstanding shares of the corporation is 11,794,134 composed of 6,188,321 shares of common stock and 5,605,813 shares of preferred stock. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage of votes required was more than 50% of the outstanding shares entitled to vote.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

Dated: November 1, 1999


Robert L. Erwin
Chief Executive Officer


John S. Rakitan
Secretary



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